

# Abstract algebras

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2022.9

# 1 Chapter III. Rings

## 3.1 Rings

## Definition

If a non-empty set  $R$  has two closed binary operations:  
addition and multiplication,

satisfying the following conditions, for  $a, b, c \in R$

- (1)  $a + b = b + a$
  - (2)  $(a + b) + c = a + (b + c)$
  - (3) There is an element  $0 \in R$  such that  $0 + a = a$ .
  - (4) There exists an element  $-a \in R$  such that  $a + (-a) = 0$ .
  - (5)  $(ab)c = a(bc)$ .
  - (6)  $a(b + c) = ab + ac$ ;  $(a + b)c = ac + bc$ .
- $(R, +, \cdot)$  is called a **ring**.

- A **ring** is an abelian group  $(R, +)$  together with a second binary operation satisfying

$$(ab)c = a(bc), \quad a(b + c) = ab + ac, \quad (a + b)c = ac + bc.$$

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## Definition

If there is an element  $1_R \in R$  such that  $1_R \neq 0$  and

$$1_R a = a 1_R, \forall a \in R,$$

we say  $R$  is a ring with unit or identity.



# Sets

## Example

Let  $2\mathbb{Z} = \{2z \mid z \in \mathbb{Z}\}$  is a ring. There is no identity in  $2\mathbb{Z}$ .



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## Example

The continuous real-valued functions on an interval  $[a, b]$  form a commutative ring by defining  $(f + g)(x) = f(x) + g(x)$  and  $(fg)(x) = f(x)g(x)$ .



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- There is no zero divisor in  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ .

## Definition

A commutative ring with identity is called an *integral domain* if for any  $a, b \in R$  such that  $ab = 0$ , either  $a = 0$  or  $b = 0$ .



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- We have  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$  and  $\mathbb{C}$  are integral domains.
- $\mathbb{Z}_6$  is not a integral domain.

## Definition

A *divisor ring*  $R$  is a ring with identity in which every nonzero element in  $R$  is a unit (that is for each nonzero  $a \in R$ , there exists an unique element  $a^{-1} \in R$  such that  $aa^{-1} = a^{-1}a = 1$ .)



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- A commutative divisor ring is called a *field*.
- $\mathbb{Q}, \mathbb{R}$  and  $\mathbb{C}$  are fields.  $(\mathbb{Z}_n, +, \cdot)$  is a ring, but it is neither an integral domain nor a divisor ring.

## Example

$(M_{2 \times 2}(\mathbb{R}), +, \cdot)$  is a ring with identity  $E_2$ , which is not commutative. Moreover it is neither an integral domain nor a divisor ring, thus it is not a field.



## Example

Let  $Q_8$  be the quaternion group. We have

$$\mathcal{Q} = \{a + bI + cJ + dK \mid a, b, c, d \in \mathbb{R}\}$$

is a noncommutative divisor ring, called the ring of *quaternions*.  $\mathcal{Q}$  is a divisor ring. In fact, for every  $a + bI + cJ + dK$ , we have  $a - bI - cJ - dK$  such that

$$(a + bI + cJ + dK)(a - bI - cJ - dK) = a^2 + b^2 + c^2 + d^2.$$

This element can be zero only if  $a, b, c$  and  $d$  are all zero. So if  $a + bI + cJ + dK \neq 0$ ,

$$(a + bI + cJ + dK)\left(\frac{a - bI - cJ - dK}{a^2 + b^2 + c^2 + d^2}\right) = 1$$

## Proposition

*Let  $R$  be a ring with  $a$  and  $b$  in  $R$ . Then*

(1)  $a0 = 0a = 0$ ;

(2)  $a(-b) = (-a)b = -ab$ ;

(3)  $(-a)(-b) = ab$ ;

(4)  $\forall a \in R, -a = -1_R a = a(-1_R)$ ;

(5)  $\forall a, b \in R$  and  $n \in \mathbb{Z}$ ,  $n(ab) = (an)b = a(nb)$ ;

(6)  $(n1_R)a = a(n1_R) = na$ ;

(7) Given  $a_1, a_2, \dots, a_m$  and  $b_1, b_2, \dots, b_n \in R$ ,

$$(a_1 + a_2 + \dots + a_m)(b_1 + b_2 + \dots + b_n) = \sum_{i=1}^m a_i \sum_{j=1}^n b_j.$$

- Proof: (1)  $a0 = a(0 + 0) = a0 + a0$ . Solving the equation, we have  $a0 = 0$ . Similarly,  $0a = 0$ .

- Proof: (1)  $a0 = a(0 + 0) = a0 + a0$ . Solving the equation, we have  $a0 = 0$ . Similarly,  $0a = 0$ .
- (2) Since  $ab + a(-b) = a(b + (-b)) = a0 = 0$ ,  $a(-b) = -ab$ .
- (3) Following from (2),  $(-a)(-b) = -(a(-b)) = -(-ab) = ab$ .
- (4)  $a(-1_R) = -(a1_R) = -a = -(1_R a)$ .
- (5) Prove by the mathematical induction. If  $n = 0$ ,  $0(ab) = 0 = (0a)b = a(0b)$ . Assume that it is true for  $m$ , which is to say  $m(ab) = (ma)b = a(mb)$ . Then  $(m+1)ab = mab + ab = (ma + a)b = a((m+1)b)$ .

- (6)  $(n1_R)a = a(1_Rn) = na = n(a1_R) = na.$

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- (7) Prove by the mathematical induction. When  $n = 1$ ,

$$\begin{aligned}
 & (a_1 + a_2 + \cdots + a_m)b = (a_1 + (a_2 + \cdots + a_m))b \\
 & = a_1b + (a_2 + \cdots + a_m)b = a_1b + a_2b + (a_3 + \cdots + a_m)b = \cdots \\
 & = a_1b + a_2b + \cdots + a_mb = \sum_{i=1}^m a_ib
 \end{aligned}$$

Assume that it is true for  $s - 1$ . When  $n = s$ ,

$$\begin{aligned}
 & (a_1 + a_2 + \cdots + a_m)(b_1 + b_2 + \cdots + b_s) \\
 & = (a_1 + a_2 + \cdots + a_m)(b_1 + b_2 + \cdots + b_{s-1}) + (a_1 + a_2 + \cdots + a_m)b_s \\
 & = \sum_{i=1}^m a_i \sum_{j=1}^{s-1} b_j + \sum_{i=1}^m a_ib_s \\
 & = \sum_{i=1}^m a_i \sum_{j=1}^s b_j.
 \end{aligned}$$

## Proposition

*Let  $D$  be a commutative ring with identity. The  $D$  is an integral domain if and only if for all nonzero elements  $a \in D$  with  $ab=ac$ , we have  $b=c$ .*



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- Proof let  $D$  be an integral domain, then  $D$  has no zero divisors. Suppose that  $ab = ac$  and  $a \neq 0$ , then  $0 = a \cdot b - a \cdot c = a \cdot (b - c)$ . Then  $b - c = 0$ , i.e.  $b = c$ .

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- Proof let  $D$  be an integral domain, then  $D$  has no zero divisors. Suppose that  $ab = ac$  and  $a \neq 0$ , then  $0 = a \cdot b - a \cdot c = a \cdot (b - c)$ . Then  $b - c = 0$ , i.e.  $b = c$ .
- Conversely, suppose that the cancellation law is true in  $D$ . That is, suppose that  $ab = ac$  implies  $b = c$ . Let  $ab = 0$ . If  $a \neq 0$ , then  $ab = 0 = a \cdot 0$  implies  $b = 0$ . Therefore,  $a$  can not be a zero divisor. So there is nonzero division,  $D$  is an integral domain.

## Proposition

*Every finite integral domain is a field.*



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## Proof.

Let  $D$  be a finite integral domain,  $D^* = D/\{0\}$ . For each  $a \in D^*$ , define a map  $\lambda_a : D^* \rightarrow D^*$  by  $b \mapsto ab$  for  $a \in D$ . Firstly,  $\lambda_a$  is well-defined since if  $a \neq 0, b \neq 0$ , then  $ab \neq 0$  by  $D$  is integral domain. Next,  $\lambda_a$  is injective. Assume that  $\lambda_a(b) = \lambda_a(c)$ , i.e.  $ab = ac$ , then  $b = c$  by the cancellation law. It is obvious that  $\lambda_a$  is surjective since  $D^*$  is a finite set, the map  $\lambda_a$  must also be surjective. Hence, for some  $d \in D^*$ ,  $\lambda_a(d) = ad = 1$ , Therefore,  $a$  has a left inverse. Since  $D$  is commutative,  $d$  must be a right inverse for  $a$ . Consequently,  $D$  is a field. □



## Definition

The characteristic of a ring  $R$  is the least positive integer  $n$  such that  $nr = 0$ , for any  $r \in R$ . If no such integer exists, then the characteristic of  $R$  is defined to be 0. Denote as  $\text{char}(R)$



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## Example

$\mathbb{Z}_p$  is a field if  $p$  is prime. Then  $p\bar{a} = \bar{0}$ , for  $a \in \mathbb{Z}_p$ , then  $\text{char}(\mathbb{Z}_p) = p$ . Moreover, we have

$$\text{char}(\mathbb{Q}) = \text{char}(\mathbb{R}) = \text{char}(\mathbb{C}) = 0.$$



## Theorem

*The characteristic of an integral domain is either prime or zero.*



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## Proof.

Let  $D$  be an integral domain, suppose that  $\text{char}(D) = n, n \neq 0$ . If  $n$  is not prime, then  $n = a \cdot b$ , where  $1 < a < n, 1 < b < n$ . Since  $0 = n \cdot 1 = (a \cdot b)1 = (a \cdot 1)(b \cdot 1)$ , note that  $D$  is integral domain,  $a \cdot 1 = 0$  or  $b \cdot 1 = 0$ . If  $a \cdot 1 = 0$ , then  $\text{char}(D) = a < n$ . It is contradiction. The same for  $b$ . Hence,  $n$  must be prime.  $\square$



## 3.2 Subrings and ideals

# Subrings

## Definition

Let  $(R, +, \cdot)$  be a ring. A *subring*  $S$  of  $R$  is a subset  $S$  of  $R$  such that  $(S, +, \cdot)$  is a ring.



# Subrings

## Definition

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## Example

The ring  $n\mathbb{Z}$  is a subring of  $\mathbb{Z}$ . Notice that even though the original ring may have an identity, we do not require that its subring have an identity. We have the following chain of subrings:  
 $\mathbb{Z} \subset \mathbb{Q} \subset \mathbb{R} \subset \mathbb{C}$ .



## Proposition

*Let  $R$  be a ring and  $S$  a subset of  $R$ . Then  $S$  is a subring of  $R$  if and only if the following conditions are satisfied.*

- (1)  $S \neq \emptyset$ .*
- (2)  $r - s \in S$  for all  $r, s \in S$ .*
- (3)  $rs \in S$  for all  $r, s \in S$ .*



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## Proof.

If  $S$  is a subring, then  $(S, +)$  is a subgroup of  $(R, +)$ , thus (1) and (2) hold. (3) is clear because  $S$  is a subring.

If  $S$  satisfy (1), (2) and (3), we have  $S$  is subgroup under "+" by (1) and (2). (3) means that  $S$  is closed under multiplication.  $S$  is a subset of  $R$ , so  $S$  is associative and distributive. Thus  $(S, +, \cdot)$  is a subring of  $R$ . □

## Example

Let

$$R = M_2(\mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{R} \right\}$$

$$Tri = \left\{ \begin{pmatrix} p & q \\ 0 & r \end{pmatrix} \mid p, q, r \in \mathbb{R} \right\}.$$

$Tri$  is a nonempty set. Let  $\begin{pmatrix} u & v \\ 0 & w \end{pmatrix}, \begin{pmatrix} p & q \\ 0 & r \end{pmatrix} \in T$ , then

$$\begin{pmatrix} u & v \\ 0 & w \end{pmatrix} \begin{pmatrix} p & q \\ 0 & r \end{pmatrix} = \begin{pmatrix} up & uq + vr \\ 0 & wr \end{pmatrix} \in T,$$

$$\begin{pmatrix} u & v \\ 0 & w \end{pmatrix} - \begin{pmatrix} p & q \\ 0 & r \end{pmatrix} = \begin{pmatrix} u - p & v - q \\ 0 & w - r \end{pmatrix} \in T$$

Then  $T$  is a subring of  $R$ .

## Definition

A subring  $I$  of  $R$  is an ideal if  $ar, ra \in I$ , for  $a \in I, r \in R$ , or equivalent  $rI \subseteq I, Ir \subseteq I$ .

## Example

$\{0\}$  and  $R$  are ideals of  $R$ . These two ideals are called trivial ideals.



## Proposition

*A nonempty set  $I$  of  $R$  is an ideal of  $R$  if*

- (1)  $a, b \in I$ , then  $a - b \in I$ .*
- (2)  $a \in I, r \in R$ , then  $ar, ra \in I$ .*

## Proof.

We have  $I$  is a commutative subgroup under "+" by (1).  $I$  is a subring by (1) and (2), and  $ar, ra \in I$ . Thus  $I$  of  $R$  is an ideal of  $R$ . □



## Example

$(\mathbb{Z}, +, 0)$ . Take  $n \neq 0, n \in \mathbb{Z}$ .

$$I = \{rn \mid r \in \mathbb{Z}\} = \{\dots, -2n, -n, 0, n, 2n \dots\}$$

is an ideal of  $\mathbb{Z}$  since  $\mathbb{Z}I, I\mathbb{Z} \subseteq I$ .

All ideals of  $(\mathbb{Z}, +, 0)$  are  $n\mathbb{Z}$  for every  $n \neq 0$ .



## Example

Let  $R = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \mid a, b, c \in \mathbb{Z} \right\}$ , then  $I = \left\{ \begin{pmatrix} 0 & d \\ 0 & 0 \end{pmatrix} \mid d \in \mathbb{Z} \right\}$  is an ideal of  $R$ .

Note that  $J = \left\{ \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \mid a, b \in \mathbb{Z} \right\}$  is not an ideal of  $R = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{Z} \right\}$ . Let  $a, b, c, d, a_2, b_2 \in \mathbb{Z}$ , we have

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} a_2 & b_2 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} aa_2 & b_2 \\ ca_2 & cb_2 \end{pmatrix} \neq J.$$



## Example

$R$  is a commutative, identity ring,  $a \in R$ ,

$$I = \langle a \rangle = \{ar \mid r \in R\}$$

is an ideal of  $R$ . Since  $0 = a0 \in \langle a \rangle$  and  $a = a1 \in \langle a \rangle$ ,  $I$  is nonempty. If  $ar_1 \in I$ ,  $ar_2 \in I$ , then  $ar_1 - ar_2 = a(r_1 - r_2) \in I$ . If  $ar \in \langle a \rangle$ ,  $s \in R$ , we have  $s(ar) = as(r) \in \langle a \rangle$ . Thus  $\langle a \rangle$  is an ideal.



- Let  $R$  be a ring,  $\forall a \in R$ . Define

$$\mathcal{U} = \{x_1ay_1 + x_2ay_2 + \cdots + x_may_m + sa + at + na \mid \\ x_i, y_i, s, t \in R, n \in \mathbb{Z}, i = 1, \cdots m\}.$$

- Let  $R$  be a ring,  $\forall a \in R$ . Define

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- Let  $R$  be a ring,  $\forall a \in R$ . Define

$$\mathcal{U} = \{x_1ay_1 + x_2ay_2 + \cdots + x_may_m + sa + at + na \mid x_i, y_i, s, t \in R, n \in \mathbb{Z}, i = 1, \cdots m\}.$$

- $\mathcal{U}$  is an ideal.
- In fact, if  $u_1, u_2 \in \mathcal{U}$ ,  $u_1 \pm u_2 \in \mathcal{U}$ . For  $r \in R$ ,

$$u = x_1ay_1 + x_2ay_2 + \cdots + x_may_m + sa + at + na,$$

then

$$\begin{aligned} & r(x_1ay_1 + x_2ay_2 + \cdots + x_may_m + sa + at + na) \\ &= rx_1ay_1 + rx_2ay_2 + \cdots + rx_may_m + rsa + rat + rna. \end{aligned}$$

Note that  $rx_1, rx_2, \cdots, rx_m, rs, r, rn \in R$ ,  $ru \in \mathcal{U}$ . Similarly,  $ur \in \mathcal{U}$ .

## Definition

Let  $R$  be a ring,  $a \in R$ , an ideal of the form

$$\langle a \rangle = \{x_1ay_1 + x_2ay_2 + \cdots + x_may_m + sa + at + na \mid \\ x_i, y_i, s, t \in R, n \in \mathbb{Z}\}$$

is called a *principal ideal*.



- (1) If  $R$  is a commutative ring, then

$$\langle a \rangle = \{ra + na \mid r \in R, n \in \mathbb{Z}\}.$$

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$$\langle a \rangle = \{ra + na \mid r \in R, n \in \mathbb{Z}\}.$$

- (2) If  $R$  is a ring with identity, then

$$\langle a \rangle = \left\{ \sum_i x_i a y_i \mid x_i, y_i \in R \right\}.$$

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$$\langle a \rangle = \left\{ \sum_i x_i a y_i \mid x_i, y_i \in R \right\}.$$

- (3) If  $R$  is a commutative ring with identity, then

$$\langle a \rangle = \{ra \mid r \in R\}.$$

- Let  $R$  be a ring,  $\forall a_1, a_2, \dots, a_m \in R$ . Define

$$\mathcal{I} = \{s_1 + s_2 + \dots + s_m \mid s_i \in \langle a_i \rangle\},$$

Let  $u = s_1 + s_2 + \dots + s_m, u' = s'_1 + s'_2 + \dots + s'_m \in \mathcal{I}$ , then

$$u - u' = (s_1 - s'_1) + (s_2 - s'_2) + \dots + (s_m - s'_m) \in \mathcal{I}.$$

Let  $r \in R$ , then  $rs_i, rs'_i \in \langle a_i \rangle$  and

$$ru = rs_1 + rs_2 + \dots + rs_m \in \mathcal{I}, \quad ur = s_1r + s_2r + \dots + s_mr \in \mathcal{I}$$

Thus  $\mathcal{I}$  is an ideal of  $R$ . Denote  $\mathcal{I} = \langle a_1, a_2, \dots, a_m \rangle$ .

## Theorem

*There are only two ideals in a divisor ring i.e. trivial ideals.*



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## Proof.

Assume that  $I$  is an ideal of  $R$ . For  $a \neq 0, a \in I$ , there exists  $a^{-1} \in R$  such that  $a^{-1}a = aa^{-1} = 1$ . Thus for any  $b \in R$ ,  $b = b1 \in I$ , that means  $I = R$ . □



## Theorem

*Every ideal in the ring of integers  $\mathbb{Z}$  is a principle ideal.*



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## Proof.

If  $I = \langle 0 \rangle = \{0\}$ , then  $I$  is a principle ideal. If  $I \neq \{0\}$ , then  $I \subseteq \mathbb{Z}$ .  $I$  is consisted by some integers in  $\mathbb{Z}$ . Let  $n$  be the smallest positive integer of  $I$  by the principle of well-ordering. For all  $a \in I$ , there exist  $q, r \in \mathbb{Z}, 0 \leq r < n$ , such that  $a = nq + r$ . That is  $r = a - nq \in I$ , but  $r = 0$  since  $n$  is the least positive element in  $I$ . Therefore  $a = nq$ . So  $I = \langle n \rangle$ . □



- Let  $n \in \mathbb{Z}$ . Note that  $n\mathbb{Z}$  is an ideal of  $\mathbb{Z}$ . If  $na \in n\mathbb{Z}$ , then  $nab \in n\mathbb{Z}, b \in \mathbb{Z}$ . All ideals of  $\mathbb{Z}$  are  $n\mathbb{Z}$ . For example

$$\langle 4 \rangle = \{\dots - 8, -4, 0, 4, 8, \dots\},$$

$$\langle 2 \rangle = \{\dots - 4, -2, 0, 2, 4, \dots\}.$$

And  $\langle 4 \rangle \subset \langle 2 \rangle$ .

- Let  $R$  be a commutative ring with identity. Any expression of the form

$$f(x) = a_0 + a_1x + a_2x^2 \cdots + a_nx^n,$$

where  $a_i \in R$  and  $a_n \neq 0$ , is called a **polynomial** over  $R$  with indeterminate  $x$ . The elements  $a_0, a_1, \dots, a_n$  are called the coefficients of  $f$ . The coefficient  $a_n$  is called the leading coefficient.

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- A polynomial is called **monic** if the leading coefficient is 1. If  $n$  is the largest nonnegative number for which  $a_n \neq 0$ , we say that the **degree** of  $f$  is  $n$  and write  $\deg f(x) = n$ . If no such  $n$  exists, then the degree of  $f$  is defined to be  $\infty$ .

- Denote the set of all polynomials with coefficients in a ring  $R$  by  $R[x]$ .  $R[x]$  is a ring, we call it as **polynomial ring**.

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### Example

Let  $p(x) = 3 + 3x^3$  and  $q(x) = 4 + 4x^2 + 4x^4$  be polynomials in  $\mathbb{Z}_{12}[x]$ . Then

$$\begin{aligned}p(x) + q(x) &= 7 + 4x^2 + 3x^3 + 4x^4 \\p(x)q(x) &= 0.\end{aligned}$$

This example tells us that we can not expect  $R[x]$  to be an integral domain if  $R$  is not an integral domain.



- Let  $F$  be a field. A principal ideal in  $F[x]$  is an ideal  $\langle p(x) \rangle$  generated by some polynomial  $p(x)$ , that is,

$$\langle p(x) \rangle = \{p(x)q(x) | q(x) \in F[x]\}.$$

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$$\langle p(x) \rangle = \{p(x)q(x) \mid q(x) \in F[x]\}.$$

- For example, the polynomial  $x^2 \in F[x]$  generates the ideal  $\langle x^2 \rangle$  consisting of all polynomials with no constant term or term of degree 1.

## Theorem

*Let  $F$  be a field. Then every ideal in  $F[x]$  is a principal ideal.*



## Theorem

*Let  $F$  be a field. Then every ideal in  $F[x]$  is a principal ideal.*

- 
- Proof: Let  $I$  be an ideal of  $F[x]$ . If  $I$  is the zero ideal, the theorem is easily true. Suppose that  $I$  is a nontrivial ideal in  $F[x]$ , and let  $p(x) \in I$  be a nonzero element of minimal degree. If  $\deg p(x) = 0$ , then  $p(x)$  is a nonzero constant and 1 must be in  $I$ . Since 1 generates all of  $F[x]$ ,  $\langle 1 \rangle = I = F[x]$  and  $I$  is again a principal ideal.

- Now assume that  $\deg p(x) \geq 1$  and let  $f(x)$  be any element in  $I$ . By the division algorithm there exist  $q(x)$  and  $r(x)$  in  $F[x]$  such that  $f(x) = p(x)q(x) + r(x)$  and  $\deg r(x) < \deg p(x)$ . Since  $f(x), p(x) \in I$  and  $I$  is an ideal,  $r(x) = f(x) - p(x)q(x)$  is also in  $I$ . However, since we chose  $p(x)$  to be of minimal degree,  $r(x)$  must be the zero polynomial. Since we can write any element  $f(x) \in I$  as  $p(x)q(x)$  for some  $q(x) \in F[x]$ , it must be the case that  $I = \langle p(x) \rangle$ .

## 3.3 Ring homomorphisms

## Definition

Let  $R$  and  $S$  be rings, a map  $\phi : R \longrightarrow S$  is a ring homomorphism, if for all  $a, b \in R$ ,

$$\begin{aligned}\phi(a + b) &= \phi(a) + \phi(b), \\ \phi(ab) &= \phi(a)\phi(b).\end{aligned}$$

The kernel of a ring homomorphism to be the set

$$\text{Ker}\phi = \{a \mid \phi(a) = 0, a \in R\}.$$

If  $\phi : R \longrightarrow S$  is a bijection, then  $\phi$  is called an isomorphism of rings.

- If there is an isomorphism  $\phi : R \longrightarrow S$ , we say  $R$  is isomorphic to  $S$ , denote  $R \cong S$ .

Examples:

### Example

Let  $\phi : \mathbb{Z} \longrightarrow \mathbb{Z}_n : a \longmapsto a(\bmod n)$  be a map. Then  $\phi$  is a ring homomorphism, since

$$\begin{aligned}\phi(a + b) &= \phi(a) + \phi(b) \\ &= (a + b)(\bmod n) \\ &= a(\bmod n) + b(\bmod n) \\ &= \phi(a) + \phi(b)\end{aligned}$$

and

$$\phi(ab) = ab(\bmod n) = a(\bmod n)b(\bmod n) = \phi(a)\phi(b).$$

## Example

Let  $C[a, b]$  be the ring of continuous real-valued functions on an interval  $[a, b]$ . For a fixed  $\alpha \in [a, b]$ , we define a map

$$\begin{aligned}\phi_\alpha : C[a, b] &\longrightarrow \mathbb{R}, \\ f &\longmapsto f(\alpha).\end{aligned}$$

This is a ring homomorphism since

$$\begin{aligned}\phi_\alpha(f + g) &= (f + g)(\alpha) = f(\alpha) + g(\alpha) = \phi_\alpha(f) + \phi_\alpha(g), \\ \phi_\alpha(fg) &= (fg)(\alpha) = f(\alpha)g(\alpha) = \phi_\alpha(f)\phi_\alpha(g).\end{aligned}$$

Ring homomorphisms of the type  $\phi_\alpha$  are called evaluation homomorphism.

## Example

Let  $R = \left\{ \begin{pmatrix} a & b \\ -b & a \end{pmatrix} \mid a, b \in \mathbb{R} \right\}$  be a subring of the matrix ring  $M_2(\mathbb{R})$ . Now define a map

$$\begin{aligned} \phi : R &\longrightarrow \mathbb{C}, \\ \begin{pmatrix} a & b \\ -b & a \end{pmatrix} &\longrightarrow a + bi. \end{aligned}$$

Then  $\phi$  is a ring homomorphism.



## Proposition

*Let  $\phi : R \longrightarrow S$  be a ring homomorphism.*

*(1) If  $R$  is a commutative ring, then  $\phi(R)$  is commutative.*

*(2)  $\phi(0_R) = 0_S$ .*

*(3) Let  $1_R, 1_S$  be the identities for  $R$  and  $S$ . If  $\phi$  is surjective, then  $\phi(1_R) = 1_S$ .*

*(4) If  $R$  is a field and  $\phi(R) \neq 0$ , then  $\phi(R)$  is a field.*



## Proof.

(1) Let  $a, b \in R$  and  $ab = ba$ . Then

$$\phi(a)\phi(b) = \phi(ab) = \phi(ba) = \phi(b)\phi(a).$$

$S$  is commutative.

(2) Note that  $\phi$  is a group homomorphism under addition, then  $\phi(0_R) = 0_S$ .

(3) Let  $\phi(r) = 1_S$  by  $\phi$  surjective. Then  $1_S = \phi(r) = \phi(r1_R) = \phi(r)\phi(1_R) = 1_S\phi(1_R) = \phi(1_R)$ . Thus  $\phi(1_R) = 1_S$ .

(4)  $R$  is a field,  $1_R \in R$ . Let  $\phi(r) \in \phi(R)$ , then  $\phi(r) = \phi(r1_R) = \phi(r)\phi(1_R)$  for any  $r \in R$ , then  $\phi(1_R)$  is the identity in  $\phi(R)$ .  $\phi(R)$  is commutative since  $R$  is commutative. Let  $a \in R, \phi(a) \in \phi(R)$ , then  $\phi(1_R) = \phi(aa^{-1}) = \phi(a)\phi(a^{-1})$ . Thus, for every  $\phi(a) \in \phi(R)$ ,  $\phi(a)^{-1} = \phi(a^{-1})$ . □

## Proposition

*The kernel of any ring homomorphism  $\phi : R \rightarrow S$  is an ideal in  $R$ .*



## Proposition

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## Proof.

Note that  $\ker\phi$  is a subgroup of  $R$ . For all  $a \in \ker\phi, r \in R$ , we have

$$\phi(ra) = \phi(r)\phi(a) = \phi(r)0 = 0,$$

$$\phi(ar) = \phi(a)\phi(r) = 0\phi(r) = 0.$$

So  $ra, ar \in \ker\phi$ .



## Theorem

*Let  $I$  be an ideal of  $R$ . The factor group  $R/I$  is a ring with multiplication defined by*

$$(r + I)(s + I) = rs + I. \quad (1)$$



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- proof: Let  $r + I, s + I \in R/I$ , then  $R/I$  is an abelian group since

$$(r + I) + (s + I) = r + s + I.$$

We will show that the multiplication is well-defined. That is the product  $(r + I)(s + I) = rs + I$  is independent of the choice of coset.

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We will show that the multiplication is well-defined. That is the product  $(r + I)(s + I) = rs + I$  is independent of the choice of coset.

- If  $r' \in r + I, s' \in s + I$ , then  $r's' \in rs + I$ . Since  $r', s' \in r + I$ , there exist  $a, b \in I$  such that  $r' = r + a$  and  $s' = s + b$ . The multiplication  $r's' = rs + as + rb + ab$ , is in  $rs + I$  since

- The multiplication satisfy associative law and distributive laws because

$$\begin{aligned}((r + I)(s + I))(t + I) &= (rs + I)(t + I) = (rs)t + I = rst + I, \\(r + I)((s + I)(t + I)) &= (r + I)(st + I) = r(st) + I = rst + I.\end{aligned}$$

and

$$\begin{aligned}((r + I) + (s + I))(t + I) &= (r + s + I)(t + I) = (r + s)t + I, \\(r + I)((s + I) + (t + I)) &= (r + I)(s + t + I) = r(s + t) + I.\end{aligned}$$

## Definition

Let  $R$  be a ring, and  $I$  be an ideal of  $R$ , ring  $R/I$  is called factor ring or quotient ring.



## Theorem

*Let  $I$  be an ideal of  $R$ . The map  $\psi : R \rightarrow R/I$  defined by  $\psi(r) = r + I$  is a ring homomorphism of  $R$  onto  $R/I$  with kernel  $I$ .*



## Theorem

*Let  $I$  be an ideal of  $R$ . The map  $\psi : R \rightarrow R/I$  defined by  $\psi(r) = r + I$  is a ring homomorphism of  $R$  onto  $R/I$  with kernel  $I$ .*



## Proof.

It is obvious that  $\psi : R \rightarrow R/I$  is a group homomorphism. Let  $r, s \in R$ , then

$$\psi(rs) = \psi(r)\psi(s) = (r + I)(s + I) = rs + I.$$

Thus  $\psi$  is a ring homomorphism. If  $r \in I$ , then

$$\psi(r) = r + I = I = 0 + I = \bar{0} \in R/I.$$



## Definition

The map  $\psi : R \rightarrow R/I$  is called *natural homomorphism* or *canonical homomorphism*.



## Theorem

**First Isomorphism Theorem for Rings:** *Let  $\phi : R \rightarrow S$  be a ring homomorphism. Then  $\text{Ker}\phi$  is an ideal of  $R$ . If  $\psi : R \rightarrow R/\text{ker}\phi$  is the canonical homomorphism, then there exists an isomorphism  $\eta : R/\text{Ker}\phi \rightarrow \phi(R)$  such that  $\phi = \eta\psi$ .*



## Theorem

**First Isomorphism Theorem for Rings:** *Let  $\phi : R \rightarrow S$  be a ring homomorphism. Then  $\text{Ker}\phi$  is an ideal of  $R$ . If  $\psi : R \rightarrow R/\text{ker}\phi$  is the canonical homomorphism, then there exists an isomorphism  $\eta : R/\text{Ker}\phi \rightarrow \phi(R)$  such that  $\phi = \eta\psi$ .*

- 
- Proof: By the First Isomorphism Theorem for groups, there exist a well-defined additive group homomorphism  $\eta : R/\text{Ker}\phi \rightarrow \phi(R)$  defined by  $\eta(r + \text{Ker}\phi) = \phi(r)$ . And  $\eta$  preserve multiplication since

$$\begin{aligned}\eta((r + I)(s + I)) &= \eta(rs + I) = \phi(rs) = \phi(r)\phi(s), \\ \eta(r + I)\eta(s + I) &= \phi(r)\phi(s).\end{aligned}$$

## Theorem

**Second Isomorphism Theorem for rings:** *Let  $I$  be a subring of a ring  $R$  and  $J$  an ideal of  $R$ . Then  $I \cap J$  is an ideal of  $I$ , and*

$$I/I \cap J \cong (I + J)/J.$$



## Theorem

**Second Isomorphism Theorem for rings:** *Let  $I$  be a subring of a ring  $R$  and  $J$  an ideal of  $R$ . Then  $I \cap J$  is an ideal of  $I$ , and*

$$I/I \cap J \cong (I + J)/J.$$



## Theorem

**Third Isomorphism Theorem:** *Let  $R$  be a ring and  $I$  and  $J$  be ideals of  $R$  where  $J \subset I$ . Then*

$$R/I \cong \frac{R/J}{I/J}. \quad (2)$$



## Theorem

**Correspondence Theorem of rings:** *Let  $I$  be an ideal of a ring  $R$ . Then  $S \mapsto S/I$  is a one-to-one correspondence between the set of subrings  $S$  containing  $I$  and the set of subrings of  $R/I$ . Furthermore, the ideals of  $R$  containing  $I$  correspond to ideals of  $R/I$ .*



## Theorem

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## Proof.

The correspondence between subgroups applies here. All one has to do is verify that  $S$  is a subring if and only if  $S/I$  is. Assume that  $S$  is a subring containing  $I$  as an ideal, then there is a factor ring  $S/I$ . Conversely, if  $S/I$  is a subring of  $R/I$ , then  $S$  is a subring of  $R$ . □



## 3.4 Maximal ideals and prime ideals

## Definition

A proper ideal  $M$  of a ring  $R$  is a maximal ideal of  $R$  if the ideal  $M$  is not a proper subset of any ideal of  $R$  except  $R$  itself.



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## Example

$2\mathbb{Z}$  is a maximal ideal of  $\mathbb{Z}$ . But  $4\mathbb{Z}$  is not a maximal ideal of  $\mathbb{Z}$  since  $4\mathbb{Z} \subset 2\mathbb{Z} \subset \mathbb{Z}$ .



## Theorem

*Let  $R$  be a commutative ring with identity and  $M$  an ideal in  $R$ . Then  $M$  is a maximal ideal of  $R$  if and only if  $R/M$  is a field.*



## Theorem

*Let  $R$  be a commutative ring with identity and  $M$  an ideal in  $R$ . Then  $M$  is a maximal ideal of  $R$  if and only if  $R/M$  is a field.*

- 
- Proof: Let  $M$  be a maximal ideal in  $R$ . If  $R$  is a commutative ring, then  $R/M$  must also be a commutative ring. Clearly,  $1 + M$  acts as an identity for  $R/M$ . We must also show that every nonzero element in  $R/M$  has an inverse. If  $a + M$  is a nonzero element in  $R/M$ , then  $a \notin M$ . Define  $I = \{ra + m \mid r \in R, m \in M\}$ . We will show that  $I$  is an ideal in  $R$ . The set  $I$  is nonempty since  $0a + 0 = 0 \in I$ . If  $r_1a + m_1, r_2a + m_2 \in I$ , then

$$(r_1a + m_1) - (r_2a + m_2) = (r_1 - r_2)a + (m_1 - m_2) \in I.$$

- Also, for any  $s \in R$ , then  $s(ra + m) = sra + sm \in I$  since  $sm \in M$ ,  $(ra + m)s = ras + ms = rsa + sm \in I$  by  $R$  commutativity. Hence,  $I$  is an ideal. Therefore,  $I$  is an ideal properly containing  $M$ . Since  $M$  is a maximal ideal,  $I = R$ . consequently, by the definition of  $I$  there must be an  $m \in M$  and an element  $b \in R$  such that  $1 = ab + m$ . Therefore,

$$1 + M = ab + M = ba + M = (a + M)(b + M).$$

- Conversely, suppose that  $M$  is an ideal and  $R/M$  is a field. Since  $R/M$  is a field, it must contain at least two elements:  $0+M = M$  and  $1+M$ . Hence,  $M$  is a proper ideal of  $R$ . Let  $I$  be any ideal properly containing  $M$ . We need to show that  $I = R$ . Choose  $a \in I$  but  $a \notin M$ . Since  $a+M$  is a nonzero element in a field, there exists an element  $b+M \in R/M$  such that  $(a+M)(b+M) = ab+M = 1+M$ . Consequently, there exists an element  $m \in M$  such that  $ab+m = 1$  and  $1 \in I$ . Therefore,  $r1 = r \in I$  for all  $r \in R$ . Consequently,  $I = R$ .

## Example

Let  $p$  be prime,  $p\mathbb{Z}$  be an ideal in  $\mathbb{Z}$ . Then  $p\mathbb{Z}$  is a maximal ideal since  $\mathbb{Z}/p\mathbb{Z} \cong \mathbb{Z}_p$  is a field.



## Definition

A proper ideal  $P$  in a commutative ring  $R$  is called a prime ideal if whenever  $ab \in P$ , then either  $a \in P$  or  $b \in P$ .



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## Example

It is easy to check that the set  $P = \{\bar{0}, \bar{2}, \bar{4}, \bar{6}, \bar{8}, \bar{10}\}$  is an ideal in  $\mathbb{Z}_{12}$ . This ideal is prime and maximal ideal.



## Example

Let  $\mathbb{Z}_2[x]$  be the polynomial ring over  $\mathbb{Z}_2$ . Then  $\langle x^2 + 1 \rangle$  is not a prime ideal. Since

$$(x + 1)(x + 1) = x^2 + 1 \in \langle x^2 + 1 \rangle,$$

but  $x + 1 \notin \langle x^2 + 1 \rangle$ . So  $\langle x^2 + 1 \rangle$  is not a prime ideal of  $\mathbb{Z}_2[x]$ .



## Proposition

*Let  $n$  be a positive integer. Then  $\langle n \rangle$  is a prime ideal of  $\mathbb{Z}$  if and only if  $n$  is prime.*



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## Proof.

If  $n$  is not prime, then  $n$  is 1 or a composite number. If  $n = 1$ , then  $\langle n \rangle = \mathbb{Z}$ , it is not a prime ideal. If  $n = ab$  for  $1 < a < n, 1 < b < n$ , then  $n \in \langle n \rangle$ , but  $a \notin \langle n \rangle, b \notin \langle n \rangle$ . So  $\langle n \rangle$  is not a prime ideal.

Conversely, if  $n$  is a prime number, and  $ab \in \langle n \rangle$ , then  $n|ab$ , thus  $n|a$  or  $n|b$ , that is  $a \in \langle n \rangle$  or  $b \in \langle n \rangle$ .



## Proposition

*Let  $R$  be a commutative ring with identity  $1_R$ . Then  $P$  is a prime ideal in  $R$  if and only if  $R/P$  is an integral domain.*



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- Proof: Assume that  $P$  is an ideal in  $R$  and  $R/P$  is an integral domain. Suppose that  $ab \in P$ . If  $a + P, b + P \in R/P$  such that

$$(a + P)(b + P) = 0 + P = P,$$

then either  $a + P = P$  or  $b + P = P$ . This means that either  $a \in P$  or  $b \in P$ , which shows that  $P$  must be prime.

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then either  $a + P = P$  or  $b + P = P$ . This means that either  $a \in P$  or  $b \in P$ , which shows that  $P$  must be prime.

- Conversely, suppose that  $P$  is prime and

$$(a + P)(b + P) = ab + P = 0 + P = P.$$

Then  $ab \in P$ . If  $a \notin P$ , then  $b$  must be in  $P$  by the definition of a prime ideal. Hence,  $b + P = 0 + P$  and  $R/P$  is an integral

## Example

Every ideal in  $\mathbb{Z}$  is of the form  $n\mathbb{Z}$ . The factor ring  $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$  is an integral domain only when  $n$  is prime. It is actually a field. Hence, the nonzero prime ideals in  $\mathbb{Z}$  are the ideals  $p\mathbb{Z}$  for  $p$  a prime.



## Corollary

*Every maximal ideal in a commutative ring with identity is also a prime ideal.*



## Corollary

*Every maximal ideal in a commutative ring with identity is also a prime ideal.*

- 
- Remark: If there is no identity in the ring  $R$ , the corollary is not true. For example,  $4\mathbb{Z}$  is a maximal ideal of  $2\mathbb{Z}$ , but  $4\mathbb{Z}$  is not a prime ideal of  $2\mathbb{Z}$ .

## Theorem

*Let  $F$  be a field and suppose that  $p(x) \in F[x]$ . Then the ideal generated by  $p(x)$  is maximal if and only if  $p(x)$  is irreducible.*



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- Proof: Suppose that  $p(x)$  generates a maximal ideal of  $F[x]$ . Then  $\langle p(x) \rangle$  is also a prime ideal of  $F[x]$ . Since a maximal ideal must be properly contained inside  $F[x]$ ,  $p(x)$  cannot be a constant polynomial. Let us assume that  $p(x)$  factors into product of two polynomials, say  $p(x) = f(x)g(x)$ , where  $\deg f(x) < \deg(p(x))$ ,  $\deg g(x) < \deg(p(x))$ . Since  $\langle p(x) \rangle$  is a prime ideal, one of these factors, say  $f(x)$ , is in  $\langle p(x) \rangle$  and therefore be a multiple of  $p(x)$ . But this would imply that  $\langle p(x) \rangle \subset \langle f(x) \rangle$ , which is impossible since  $\langle p(x) \rangle$  is maximal.

- Conversely, suppose that  $p(x)$  is irreducible over  $F[x]$ . Let  $I$  be an ideal in  $F[x]$  containing  $\langle p(x) \rangle$ . Then  $I$  is a principal ideal, hence,  $I = \langle f(x) \rangle$  for some  $f(x) \in F[x]$ . Since  $p(x) \in I$ , it must be the case that  $p(x) = f(x)g(x)$  for some  $g(x) \in F[x]$ . However,  $p(x)$  is irreducible; hence, either  $f(x)$  or  $g(x)$  is a constant polynomial. If  $f(x)$  is constant, then  $I = F[x]$  and we are done. If  $g(x)$  is a constant, then  $f(x)$  is a constant multiple of  $p(x)$  and  $I = \langle p(x) \rangle$ . Thus, there are no proper ideals of  $F[x]$  that properly contain  $\langle p(x) \rangle$ .

## 1.4 Extension fields

## Definition

Let  $E$  is a field. A subfield  $F$  is a subset of  $E$  and  $F$  is a field. A field  $E$  is an *extension field* of a field  $F$  if  $F$  is a subfield of  $E$ . The field  $F$  is called the *base field*. We write  $F \subseteq E$  or  $E/F$ .



## Definition

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- 
- Given a field extension  $E/F$ , the larger field  $E$  can be considered as a vector space over  $F$ . The elements of  $E$  are the vectors and the elements of  $F$  are scalars. For example,  $\mathbb{C} = \{a + bi | a, b \in \mathbb{Q}, i^2 = -1\}$  is a vector space over  $\mathbb{Q}$ , and  $\mathbb{C}$  is an extension field of  $\mathbb{Q}$ .

## Definition

If an extension field  $E$  of a field  $F$  is a finite dimensional vector space over  $F$  of dimension  $n$ , then we say that  $E$  is a finite extension of degree  $n$  over  $F$ . We write  $[E : F] = n$  to indicate the dimension of  $E$  over  $F$ .



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## Example

Let

$$\mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} | a, b \in \mathbb{Q}\}.$$

Then  $\mathbb{Q}(\sqrt{2})$  is an extension field of  $\mathbb{Q}$ , the basis of  $\mathbb{Q}(\sqrt{2})$  over  $\mathbb{Q}$  is  $\{1, \sqrt{2}\}$ , and

$$[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2.$$



## Example

If we consider the polynomial

$$p(x) = x^4 - 5x^2 + 6 = (x^2 - 2)(x^2 - 3).$$

$(x^2 - 2)$  and  $(x^2 - 3)$  are irreducible in  $\mathbb{Q}$ .

Let

$$E = \mathbb{Q}(\sqrt{2}, \sqrt{3}) = \{a + b\sqrt{3} \mid a, b \in \mathbb{Q}(\sqrt{2})\}.$$

Then  $p(x)$  is reducible in  $E$ .



- $E$  is a extension field of  $\mathbb{Q}(\sqrt{2})$ , the basis of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  over  $\mathbb{Q}(\sqrt{2})$  is  $\{1, \sqrt{3}\}$ , and

$$[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}(\sqrt{2})] = 2.$$

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$$[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}(\sqrt{2})] = 2.$$

- Furthermore,

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2})(\sqrt{3}) = \{a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6} | a, b, c, d \in \mathbb{Q}\},$$

then

$$[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4.$$

## Theorem

*Telescope Formula: If  $E$  is a finite extension of  $F$  and  $K$  is a finite extension of  $E$ , then  $K$  is a finite extension of  $F$  and*

$$[K : F] = [K : E][E : F]. \quad (3)$$



- Proof: Let  $\{\alpha_1, \dots, \alpha_n\}$  be a basis for  $E$  as a vector space over  $F$  and  $\{\beta_1, \dots, \beta_m\}$  be a basis for  $K$  as a vector space over  $E$ . We claim that  $\{\alpha_i \beta_j\}$  is a basis for  $K$  over  $F$ .

- Proof: Let  $\{\alpha_1, \dots, \alpha_n\}$  be a basis for  $E$  as a vector space over  $F$  and  $\{\beta_1, \dots, \beta_m\}$  be a basis for  $K$  as a vector space over  $E$ . We claim that  $\{\alpha_i\beta_j\}$  is a basis for  $K$  over  $F$ .
- We will first show that these vectors span  $K$ . Let  $u \in K$ . Then  $u = \sum_{j=1}^m b_j\beta_j$  and  $b_j = \sum_{i=1}^n a_{ij}\alpha_i$ , where  $b_j \in E$  and  $a_{ij} \in F$ . Then

$$u = \sum_{j=1}^m \left( \sum_{i=1}^n a_{ij}\alpha_i \right) \beta_j = \sum_{i,j} a_{ij}(\alpha_i\beta_j).$$

So the  $mn$  vectors  $\alpha_i\beta_j$  must span  $K$  over  $F$ .

- We must show that  $\{\alpha_i\beta_j\}$  are linearly independent. Suppose that there exist  $c_{ij} \in F$  such that

$$u = \sum_{i,j} c_{ij}(\alpha_i\beta_j) = \sum_{i,j} (c_{ij}\alpha_i)\beta_j = 0.$$

Since the  $\beta_j$ 's are linearly independent over  $E$ , it must be the case that

$$\sum_{i,j} c_{ij}\alpha_i = 0,$$

for all  $j$ . However, the  $\alpha_j$  are also linearly independent over  $F$ . Therefore,  $c_{ij} = 0$  for all  $i$  and  $j$ , which completes the proof.

## Corollary

*If  $F_i$  is a field for  $i = 1, \dots, k$  and  $F_{i+1}$  is a finite extension of  $F_i$ , then  $F_k$  is a finite extension of  $F_1$  and*

$$[F_k : F_1] = [F_k : F_{k-1}] \cdots [F_2 : F_1] \quad (4)$$



- If  $E$  is a field extension of  $F$  and  $\alpha_1, \dots, \alpha_n$  are contained in  $E$ , we denote the smallest field containing  $F$  and  $\alpha_1, \dots, \alpha_n$  by  $F(\alpha_1, \dots, \alpha_n)$ . If  $E = F(\alpha)$  for some  $\alpha \in E$ , then  $E$  is a *simple extension* of  $F$ .
- Let  $E = F(\alpha)$  be a simple extension of  $F$ . Note that  $a \in E$ , then  $a^k \in E$  for  $k \in \mathbb{N}$ . Let  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n + \dots \in F[x]$ , then

$$f(\alpha) = a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_n\alpha^n + \dots \in E.$$

If  $g(\alpha) = b_0 + b_1\alpha + b_2\alpha^2 + \dots + b_n\alpha^n + \dots \neq 0$ , then  $g(\alpha)^{-1} \in E$ . Thus

$$E = \{f(\alpha)g(\alpha)^{-1} | f(x), g(x) \in F[x], g(\alpha) \neq 0\}.$$

- Let  $F[x]$  be the polynomial ring over field  $F$ ,  
 $F[a] = \{f(a) | f(x) \in F[x]\},$   
 $F[\alpha_1, \alpha_2, \dots, \alpha_s] = \{f(\alpha_1, \alpha_2, \dots, \alpha_s) | f(x_1, x_2, \dots, x_s) \in F(x_1, x_2, \dots, x_s)\}.$

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- If  $E = F(\alpha_1, \alpha_2, \dots, \alpha_s)$  be a extension of  $F$ , then  $E = F(\alpha_1)(\alpha_2) \cdots (\alpha_s)$ . Thus

$$E = \{f(\alpha_1, \alpha_2, \dots, \alpha_n)g(\alpha_1, \alpha_2, \dots, \alpha_n)^{-1} | f(x), g(x) \in F[x], g(\alpha_1, \alpha_2, \dots, \alpha_n) \neq 0\}.$$

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- Then  $F(\alpha)$  is a factor field of  $F[\alpha]$ ,  $F(\alpha_1, \alpha_2, \dots, \alpha_s)$  is a factor field of  $F[\alpha_1, \alpha_2, \dots, \alpha_s]$ .

- Let  $F$  be a field  $\mathbb{Q}$ . Consider the simple extension  $\mathbb{Q}[\pi]$ .  
Then

$$\mathbb{Q}(\pi) = \{f(\pi)g(\pi)^{-1} \mid f(x), g(x) \in \mathbb{Q}[x], g(\pi) \neq 0\}$$

$\mathbb{Q}(\pi)$  is the factor field of ring  $\mathbb{Q}[\pi]$ .

- Exercise: Show that
  - (1)  $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} | a, b \in \mathbb{Q}\}.$
  - (2)  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}[\sqrt{2}, \sqrt{3}].$

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- Recall that  $(\sqrt{2})^{-1} = \frac{1}{2}\sqrt{2} \in \mathbb{Q}[\sqrt{2}].$  In fact,

$$\begin{aligned}\mathbb{Q}(\sqrt{2}, \sqrt{3}) &= \mathbb{Q}(\sqrt{2})(\sqrt{3}) = \mathbb{Q}[\sqrt{2}](\sqrt{3}) \\ &= \{a + b\sqrt{3} | a, b \in \mathbb{Q}(\sqrt{2})\}.\end{aligned}$$

- Let  $p(x) = x^2 + x + 1 \in \mathbb{Z}_2[x]$ . Since neither 0 nor 1 is a root of this polynomial, we know that  $p(x)$  is irreducible over  $\mathbb{Z}_2$ . We will construct a field extension of  $\mathbb{Z}_2$  containing an element  $\alpha$  such that  $p(\alpha) = 0$ .

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- The ideal  $\langle p(x) \rangle$  generated by  $p(x)$  is maximal. Hence,  $\mathbb{Z}_2/\langle p(x) \rangle$  is a field. Let  $f(x) + \langle p(x) \rangle$  be an arbitrary element of  $\mathbb{Z}_2/\langle p(x) \rangle$ . By the division algorithm,

$$f(x) = (x^2 + x + 1)q(x) + r(x),$$

where the degree of  $r(x)$  is less than the degree of  $x^2 + x + 1$ .



$$f(x) + \langle x^2 + x + 1 \rangle = r(x) + \langle x^2 + x + 1 \rangle.$$

The only possibilities for  $r(x)$  are then  $0, 1, x$  and  $1 + x$ . Consequently,  $\mathbb{Z}_2/\langle p(x) \rangle$  is a field with four elements and must be a field extension of  $\mathbb{Z}_2$ ,  $E = \mathbb{Z}_2/\langle p(x) \rangle$  containing a zero  $\alpha$  of  $p(x)$ .



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- The field  $\mathbb{Z}_2(\alpha)$  consists of elements

$$0 + 0\alpha = 0,$$

$$1 + 0\alpha = 1,$$

$$0 + 1\alpha = \alpha,$$

$$1 + 1\alpha = 1 + \alpha.$$

- Notice that  $\alpha^2 + \alpha + 1 = 0$ . Hence, if we compute

$$(1+\alpha)^2 = (1+\alpha)(1+\alpha) = 1+\alpha+\alpha+(\alpha)^2 = \alpha+(1+\alpha+(\alpha)^2) = \alpha.$$

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- Let  $a + b\alpha$  be the inverse of  $\alpha$ , then

$$1 = \alpha(a + b\alpha) = a\alpha + b\alpha^2 = a\alpha + b(-1 - \alpha) = (a - b)\alpha - b,$$

Thus  $a = -1 = 1, b = -1 = 1$ . So the inverse of  $\alpha$  is  $1 + \alpha$ .

**Table:** Addition Table of  $\mathbb{Z}_2(\alpha)$

| +            | 0            | 1            | $\alpha$     | $1 + \alpha$ |
|--------------|--------------|--------------|--------------|--------------|
| 0            | 0            | 1            | $\alpha$     | $1 + \alpha$ |
| 1            | 1            | 0            | $1 + \alpha$ | $\alpha$     |
| $\alpha$     | $\alpha$     | $1 + \alpha$ | 0            | 1            |
| $1 + \alpha$ | $1 + \alpha$ | $\alpha$     | 1            | 0            |

**Table:** Multiplication Table of  $\mathbb{Z}_2(\alpha)$

| $\cdot$      | 0 | 1            | $\alpha$     | $1 + \alpha$ |
|--------------|---|--------------|--------------|--------------|
| 0            | 0 | 0            | 0            | 0            |
| 1            | 0 | 1            | $\alpha$     | $1 + \alpha$ |
| $\alpha$     | 0 | $\alpha$     | $1 + \alpha$ | 1            |
| $1 + \alpha$ | 0 | $1 + \alpha$ | 1            | $\alpha$     |

## Definition

An element  $\alpha$  in an extension field  $E$  over  $F$  is *algebraic* over  $F$  if  $f(\alpha) = 0$  for some nonzero polynomial  $f(x) \in F[x]$ .



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## Definition

An extension field  $E$  of a field  $F$  is an algebraic extension of  $F$  if every element in  $E$  is algebraic over  $F$ .



- Both  $\sqrt{2}$  and  $i$  are algebraic over  $\mathbb{Q}$  since they are zeros of the polynomials  $x^2 - 2$  and  $x^2 + 1$ , respectively.

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- Numbers in  $\mathbb{R}$  that are algebraic over  $\mathbb{Q}$  are in fact quite rare. Almost all real numbers are transcendental over  $\mathbb{Q}$ .
- In many cases we do not know whether or not a particular number is transcendental; for example, it is still not known whether  $\pi + e$  is transcendental or algebraic.

## Example

We will show that  $\sqrt{2 + \sqrt{3}}$  is algebraic over  $\mathbb{Q}$ . If  $\alpha = \sqrt{2 + \sqrt{3}}$ , then  $\alpha^2 = 2 + \sqrt{3}$ . Hence,  $\alpha^2 - 2 = \sqrt{3}$  and  $(\alpha^2 - 2)^2 = 3$ . Since  $\alpha^4 - 4\alpha^2 + 1 = 0$ , it must be true that  $\alpha$  is a zero of the polynomial  $x^4 - 4x^2 + 1 \in \mathbb{Q}[x]$ .



## Definition

A complex number that is algebraic over  $\mathbb{Q}$  is an **algebraic number**. A **transcendental number** is an element of  $\mathbb{C}$  that is transcendental over  $\mathbb{Q}$ .



## Proposition

*A field extension of finite degree is algebraic.*

## Proof.

Let  $E$  be a finite extension of  $F$  and let  $x \in E$ . By hypothesis,  $[E : F] = n$ ,  $E$  has finite dimension  $n$  as a vector space over  $F$ . Consequently, the set  $\{1, \alpha, \alpha^2, \dots, \alpha^n\}$  is linearly dependent, and there are elements  $a_0, a_1, \dots, a_n \in F$  not all zero, such that  $a_n \alpha^n + a_{n-1} \alpha^{n-1} + \dots + a_1 \alpha + a_0 = 0$ . So  $\alpha$  is a root of the nonzero polynomial  $a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 = 0$ , and  $E$  is therefore algebraic over  $F$ . □



## Theorem

*Let  $E$  be an extension field of a field  $F$  and  $\alpha \in E$  with  $\alpha$  algebraic over  $F$ . Then there is a unique irreducible monic polynomial  $p(x) \in F(x)$  of smallest degree such that  $p(\alpha) = 0$ . If  $f(x)$  is another polynomial in  $F[x]$  such that  $f(\alpha) = 0$ , then  $p(x)$  divides  $f(x)$ .*



- Proof: Let  $\phi_\alpha : F[x] \longrightarrow E$  be the evaluation homomorphism. The kernel of  $\phi_\alpha$  is a principal ideal generated by some  $p(x) \in F[x]$  with  $\deg p(x) \geq 1$ . We know that such a polynomial exists, since  $F[x]$  is a principal ideal domain and  $\alpha$  is algebraic. The ideal  $\langle p(x) \rangle$  consists exactly of those elements of  $F[x]$  having  $\alpha$  as a zero. If  $f(\alpha) = 0$  and  $f(x)$  is not the zero polynomial, then  $f(x) \in \langle p(x) \rangle$  and  $p(x)$  divides  $f(x)$ . So  $p(x)$  is a polynomial of minimal degree having  $\alpha$  as a zero. Any other polynomial of the same degree having  $\alpha$  as a zero must have the form  $bp(x)$  for some  $b \in F$ .

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- Suppose now that  $p(x) = r(x)s(x)$ . Since  $p(\alpha) = 0$ ,  $r(\alpha)s(\alpha) = 0$ , consequently, either  $r(\alpha) = 0$  or  $s(\alpha) = 0$ , which contradicts the fact that  $\deg p(x) \geq 1$ . Therefore,  $p(x)$  must be irreducible.

## Definition

Let  $E$  be an extension field of  $F$  and  $\alpha \in E$  be algebraic over  $F$ . The unique monic polynomial  $p(x)$  of the last theorem is called the **minimal polynomial** for  $\alpha$  over  $F$ . The degree of  $p(x)$  is the degree of  $\alpha$  over  $F$ .



## Definition

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## Example

Let  $f(x) = x^2 - 2$  and  $g(x) = x^4 - 4x^2 + 1$ . They are the minimal polynomials of  $\sqrt{2}$  and  $\sqrt{2 + \sqrt{3}}$ , respectively.



- The minimal polynomials of  $i$  in  $\mathbb{Q}$  and  $\mathbb{R}$  is  $x^2 + 1$ . We have

$$\mathbb{Q}[i] = \mathbb{Q}[x]/\langle x^2 + 1 \rangle, \quad \mathbb{R}[i] \cong \mathbb{R}[x]/\langle x^2 + 1 \rangle.$$

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- Let

$$\begin{aligned} f(x) &= ((x - \sqrt{3})^2 - 2)((x + \sqrt{3})^2 - 2) \\ &= (x - \sqrt{3} - \sqrt{2})(x - \sqrt{3} + \sqrt{2}) \\ &\quad (x + \sqrt{3} - \sqrt{2})(x + \sqrt{3} + \sqrt{2}) \\ &= x^4 - 10x^2 + 1. \end{aligned}$$

Then  $f(\sqrt{2} + \sqrt{3}) = 0$ . The minimal polynomials of  $\sqrt{2} + \sqrt{3}$  is  $f(x) = x^4 - 10x^2 + 1$ .